FOR INTERNAL USE ONLY	
Exec: EXO	Client code:
Name of account:	
....	

Farley & Thompson
STOCKBROKERS

Pine Grange, Bath Road,
Bournemouth, BH1 2NU
Tel: 01202 295000

REGISTRATION FORM: EXECUTION ONLY **ESTATE SALES or TRANSFERS**

IDENTITY REQUIREMENTS

*Under FCA rules and to enable us to comply with the relevant Money Laundering Regulations, we require proof of identity and proof of residential address, **one from each of the following lists**, for all of the named persons to this agreement, as well as for any agents who may give us instructions on their behalf. Postal applications should be accompanied by two proofs of identity and one proof of address. We may also undertake supplementary electronic identification checks. Solicitors acting in a legal capacity on behalf of an estate may require an indirect customer agreement with Farley & Thompson.*

In addition you must sign a copy of our general Terms and Conditions.

Proof of identity

- Current passport
- Current UK driving licence
- DWP benefit/pension statement
- HMRC tax notification
- Court sealed Grant of Probate

Proof of address

- Proof of identity document with address if not already used as proof of identity
- Recent utility bill (not mobile telephone)
- Recent Council Tax bill
- Recent bank or mortgage statement

Additional documentary requirements *(as applicable)*

Death Certificate ☐ Letters of administration ☐ Grant of Probate ☐

Important information –

- This form should only be used for the disposal of own name certificated stock on an Execution Only basis by the registered shareholder.
- Farley & Thompson will not provide any advice or assess your financial circumstances or the merits or suitability of any transaction.
- This application is valid for the disposal of holdings listed in section 3 of this form only.
- Following settlement of trades listed, this account will be closed.
- Future trades would require a new form to be completed along with renewed proof of identity and address.

ALL SECTIONS OF THIS FORM MUST BE COMPLETED

Section 1: Personal details

Name of deceased estate	Exors of									
National Insurance number of the deceased										
Date of death			-			-				

Is this Estate being dealt with formally by a solicitor? Yes / No

If Yes, Solicitor firm details:

Solicitor firm name	
Primary contact name	
Address	
LEI (Legal Entity Identifier)	

Section 2: Executor details

	Executor 1	Executor 2
Title		
Surname		
Forenames		
National Insurance number		
Address and postcode		
Telephone	Home - Mobile -	Home - Mobile -
Email address		

Section 3: Additional Information

Additional Executor details / power reserved:

Assets to be sold or transfer of ownership:

(For transfer of ownership a Personal Registration Form will need to be completed by each beneficiary)

Section 4: Payment details

Name of bank																	
Address and postcode																	
Sort code			-			-			Account number								
Account name																	

Additional notes:

CLIENT DECLARATION

I/We acknowledge and understand that Farley & Thompson will not provide any advice or assess my financial circumstances or the merits or suitability of any transaction. I/We acknowledge that Farley & Thompson can close this account at their discretion.

Signed: ……………………………… Print Name: ……………………………… Date: ………………

Capacity of signatory: ……………………………………………………………………………………………………

Signed: ……………………………… Print Name: ……………………………… Date: ………………

Capacity of signatory: ……………………………………………………………………………………………………

FOR INTERNAL USE ONLY

Partner: ………………………... App ☐ ID ☐ T&C's ☐ ACT! ☐ Iress ☐

Entered by: ……………………. Date: …………………….

Checked by: …………………… Date: …………………….