

**THE F&T JUNIOR INDIVIDUAL SAVINGS ACCOUNT (JISA)  
Application Form**

**IDENTITY REQUIREMENTS**

*Under FCA rules and to enable us to comply with the relevant Money Laundering Regulations we require proof of identity and proof of residential address, one from each of the following lists, for the registered contact. We also require proof of the child's name and date of birth. Postal applications should be accompanied by two proofs of identity and one proof of address.*

**Proof of identity**

- Current passport
- Current UK driving licence
- DWP benefit/pension statement
- HMRC tax notification

**Proof of address**

- Proof of identity document with address if not already used as proof of identity
- Recent utility bill (not mobile telephone)
- Recent Council Tax bill
- Recent bank or mortgage statement

**Additional documentary requirement for Junior ISA**

- Child's Birth Certificate

**Personal details (please complete in BLOCK CAPITALS)**

**REGISTERED CONTACT DETAILS**

Mr/Mrs/Miss/Ms \_\_\_\_\_ Surname \_\_\_\_\_

First Names (in full) \_\_\_\_\_

Address \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Postcode \_\_\_\_\_

Email \_\_\_\_\_

Tel No (Home) \_\_\_\_\_

Tel No (Mobile) \_\_\_\_\_

Date of Birth \_\_\_\_/\_\_\_\_/\_\_\_\_

National Insurance Number \_\_\_\_ - \_\_\_\_ - \_\_\_\_ - \_\_\_\_ - \_\_\_\_ - \_\_\_\_

**CHILD'S DETAILS**

Master/Miss \_\_\_\_\_

Surname \_\_\_\_\_

First Names \_\_\_\_\_

Date of Birth \_\_\_\_/\_\_\_\_/\_\_\_\_

Address \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Postcode \_\_\_\_\_

National Insurance No. \_\_\_\_ - \_\_\_\_ - \_\_\_\_ - \_\_\_\_ - \_\_\_\_ - \_\_\_\_  
(if applicable)

I wish to apply to subscribe to an F & T Junior Individual Savings Account (stocks and shares) for the tax year

20	/	20
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I enclose a cheque payable to Farley & Thompson for

£
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**OR**

Please arrange to sell securities to finance the subscription (details as discussed)

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**OR**

I wish to transfer an existing Child trust Fund (CTF) or JISA currently held by another manager (please complete the appropriate transfer form)

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***Please note that if the child holds a Child Trust Fund, it must be transferred as part of this application. A child cannot have a Child Trust Fund and a Junior ISA.***

## Investment objectives

I wish to invest for:

Capital Growth  
(your main objective is growth in the value of your capital; there is no specific income requirement)

Income  
(you require a flow of dividend income or interest; growth of capital is a secondary consideration)

A Balance between growth and income  
(you seek to achieve a mix between generating capital growth and producing an income)

What is the long term purpose of this investment?

## Attitude to risk

There are inherent risks associated with investing. In certain circumstances both capital values and income can fall.

I confirm I have read and understand 'Risk & Diversification' and define my attitude to risk as:

Attitude to risk	Farley & Thompson description	Capacity for loss	Please tick
Very Low risk	Investments include cash accounts, NS&I and UK sovereign debt (Gilts).	You view the security of your money as paramount, more so than the buying power of the money which would be reduced as a result of inflation.	N/A
Low/ Medium risk	Investments in this category comprise sovereign debt (gilts) and investment grade corporate bonds, bond funds and gilt funds. To a lesser extent more volatile assets such as broadly-based UK and global equity collectives may be recommended in limited proportions but <u>no direct equity</u> shareholdings. These investments are likely to produce a predictable level of income but will not offer much prospect for capital growth.	You understand risk and reward and know that your investments will fluctuate in value.  You accept that it is possible to lose some of what you invest for the prospect of better growth.	Inc COLLS
Medium risk	Investments in this category will include those in the Low/Medium risk group but proportionately will have a higher content of more volatile equity-based assets but are <u>unlikely to include direct equity</u> shareholdings. Collective investment recommendations in the Medium risk category may also include those with a broad thematic objective. These investments combined have the potential for both income generation and capital growth.	You understand risk and reward and know that your investments will fluctuate in value.  You accept that it is possible to lose some of what you invest for the prospect of better growth.	Inc/Bal COLLS
Upper Medium risk	Investments in this category may include those in the Low/Medium and Medium risk groups but recommendations are likely to have a greater focus on more volatile equity-based assets, particularly direct equity shareholdings in FTSE100 and 250 companies. Collective investment recommendations may include those with more specialised or narrow-ranging remits. These investments combined have the potential for generating capital growth with some income.	You fully understand risk and reward and know that your investments will fluctuate in value.  You accept that there is a real risk of loss of capital but this is balanced with the prospect of greater growth.	Bal or Gro
Medium / High risk	Investments in this category may include all those in the Low/Medium, Medium and Upper Medium risk groups with an emphasis on a broader spectrum of investments. There is likely to be a significant focus on the most volatile assets, including FTSE100 and 250 direct equity holdings and to a more limited extent, individual smaller company or Alternative Investment Market (AIM) shares. Recommendations may also include less liquid and narrowly-based thematic or single country funds. These investments combined have the potential for capital growth with limited prospects for income.	You fully understand risk and reward and know that your investments could be subject to extreme volatility.  You accept that there is a real risk of loss of capital but this is balanced with the prospect of greater growth.	Gro
High risk	Investments include warrants, derivatives, futures and options as well as EIS, Venture Capital Trusts, emerging market and frontier single country funds. High risk will also include any requirement to invest exclusively in the equity of smaller capitalised companies, AIM or unquoted securities.	You fully understand risk and reward and acknowledge that your investments could be subject to extreme volatility.  You accept that there is a real risk of complete loss of capital on the most speculative investment transactions.	Gro

Only tick if you wish to receive annual reports and accounts.  
(Annual Charge of £15 plus VAT per holding)

Only tick if you wish to receive other statutory information and attend shareholders' meetings.  
(Annual Charge of £50 plus VAT per holding)

**This application form should be read in conjunction with our terms & conditions upon which we intend to rely. For your own benefit and protection you should read the terms carefully. If you do not understand any point please ask for further information.**

**I authorise Farley & Thompson**

- To hold the child's subscriptions, JISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- To make on the child's behalf any claims to relief from tax in respect of JISA investments.

**I declare that**

- I am 16 years of age or over and I am the child, or have parental responsibility for the child, who will hold the JISA.
- The child does not have a Child Trust Fund account.
- As applicant I will be the registered contact for the JISA. As the registered contact I am the only person who can give instructions to Farley and Thompson on the management of investments in the JISA.
- The child who will hold the JISA is resident in the United Kingdom for tax purposes or is a UK Crown servant or, is married to or in a civil partnership with or, is a dependent of a UK Crown servant.
- I have not subscribed and will not subscribe to another JISA of this type for this child.
- I am not aware that this child has another JISA of this type.
- I am not aware of other JISA subscriptions that will result in the annual limit being exceeded.
- I will not knowingly make subscriptions to JISAs for this child that will result in the subscription limit being breached.
- The amount subscribed is a gift to the child and as such cannot be repaid to the subscriber. At all times the child will be the beneficial owner of the investments held in the JISA.
- Funds cannot be withdrawn from the JISA until the child's 18<sup>th</sup> birthday.

**I declare that this application form has been completed to the best of my knowledge and belief and undertake to inform Farley & Thompson of any change in circumstances affecting the information given in this form.**

**I confirm that I have read and agree to the above authorisation and declaration and to the terms and conditions of the Farley & Thompson Individual Savings Account. I agree these may be changed in order to comply with any future amendments to the Regulations.**

**Signed** .....

**Dated** .....

**Name** .....

FOR INTERNAL USE ONLY

Partner: .....

App

ID

JISA trf

CTF trf

Entered by: .....

Date:

.....

Iress

Accounts

Certified by: .....

Date:

.....

Valuations

Scanned + ID: .....

Date:

.....

ACT.....