

Please sign and return this declaration.

Client Reference(s):



Authorised and regulated by the Financial Conduct Authority.
Member of the London Stock Exchange

COMPLEX FINANCIAL INSTRUMENTS DECLARATION

CLIENT NAME(S).....

Under Financial Conduct Authority Rules and our Terms and Conditions of Business, we are required to satisfy ourselves that you have the required knowledge and experience to understand the risks involved when dealing in Complex Financial Instruments.

You have requested to deal in Split Capital Investment Trusts and/or Subscription Shares that carry a high degree of risk due to their leveraged or sophisticated structure. These investments may be highly volatile and involve a significant risk to your capital and may result in a substantial or total loss of the money invested.

Questionnaire

- Do you understand that these types of investment have a limited or pre-determined life? Yes No
- Do you understand that these investments are highly geared? Yes No
- Do you understand that Split Capital Trusts consist of two or more or bundled share classes? Yes No
- Do you understand that higher risk investments can offer the potential for higher returns but that you could lose some or all of your capital? Yes No
- Do you have previous experience of dealing in this type of investment? Yes No
- If yes, for how long? 1 – 3 years 3 – 5 years More than 5 years
- Do you consider that you have sufficient knowledge and experience to invest in complex financial instruments? Yes No

Declaration: I/We confirm that I/we wish to invest in Complex Financial Instruments.

Signed

Print name

Date

Signed

Print name

Date