

REGISTRATION FORM: EXECUTION ONLY ESTATE SALES or TRANSFERS

IDENTITY REQUIREMENTS

Under FCA rules and to enable us to comply with the relevant Money Laundering Regulations, we require proof of identity and proof of residential address, **one from each of the following lists**, for all of the named persons to this agreement, as well as for any agents who may give us instructions on their behalf. Postal applications should be accompanied by two proofs of identity and one proof of address. We may also undertake supplementary electronic identification checks. Solicitors acting in a legal capacity on behalf of an estate may require an indirect customer agreement with Farley & Thompson.

Proof of identity

- Current passport
- Current UK driving licence
- DWP benefit/pension statement
- HMRC tax notification
- Court sealed Grant of Probate

Proof of address

- Proof of identity document with address (If not already used as a proof of identity)
- Recent utility bill (not mobile telephone)
- Recent Council Tax bill
- Recent bank or mortgage statement

Additional documentary requirements (as applicable)

Death Certificate

Letters of administration

Grant of Probate

Important information –

- This form should only be used for the disposal of certificated holdings or shares held in a Registrar's corporate nominee on an Execution Only basis.
- Farley & Thompson will not provide any advice or assess your financial circumstances or the merits or suitability of any transaction.
- This application is valid for the disposal of the holdings listed in section 3 of this form. We may transfer these stocks into our own nominee prior to sale.
- Following settlement this account will be closed. Future trades would require a new form to be completed along with renewed proof of identity and address.

ALL SECTIONS OF THIS FORM MUST BE COMPLETED

Section 1: Personal details

| | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|----------|--|--|---|----|--|--|--|--|---------------------------------|--|--|--|--|--|---------------|--|--|--|---|--|--|--|--|--|--|
| Name of deceased estate | Exors of | | | | | | | | | | | | | | | | | | | | | | | | | |
| National Insurance Number | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Nationality/Dual Nationality | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Date of Birth | | | | - | | | | | | | | | | | | Date of Death | | | | - | | | | | | |
| Is this Estate being dealt with formally by a solicitor? | Yes | | | | No | | | | | If Yes, Solicitor firm details: | | | | | | | | | | | | | | | | |
| Solicitor firm name | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Primary contact name | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Address and postcode | | | | | | | | | | | | | | | | | | | | | | | | | | |
| LEI (Legal Entity Identifier) | | | | | | | | | | | | | | | | | | | | | | | | | | |

Section 2: Executor details

| | Executor 1 | | | | Executor 2 | | | |
|------------------------------|------------|--|--|--|------------|--|--|--|
| Surname | Title | | | | Title | | | |
| Forename(s) | | | | | | | | |
| Address and postcode | | | | | | | | |
| Telephone | Home | | | | Home | | | |
| | Mobile | | | | Mobile | | | |
| Email address | | | | | | | | |
| Date of Birth | | | | | | | | |
| National Insurance Number | | | | | | | | |
| Nationality/Dual Nationality | | | | | | | | |

Section 3: Assets to be sold *(For transfer of ownership a Personal Registration Form will need to be completed)*

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Section 4: Payment details

| | | | | | | | | | | | | | |
|----------------------|--|--|---|--|--|---|--|----------------|--|--|--|--|--|
| Name of bank | | | | | | | | | | | | | |
| Address and postcode | | | | | | | | | | | | | |
| Sort code | | | - | | | - | | Account number | | | | | |
| Account name | | | | | | | | | | | | | |

CLIENT DECLARATION

I/We confirm that the solicitors named on page 1 are authorised to act on our behalf. I/We acknowledge and understand that Farley & Thompson will not provide any advice or assess my financial circumstances or the merits or suitability of any transaction. I/We acknowledge that Farley & Thompson can close this account at their discretion.

| | | | | | |
|------------------------|--|-------------|--|-------|--|
| Signed: | | Print Name: | | Date: | |
| Capacity of signatory: | | | | | |
| Signed: | | Print Name: | | Date: | |
| Capacity of signatory: | | | | | |

| FOR INTERNAL USE ONLY | | | | | | |
|-----------------------|-------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| Partner: | App | <input type="checkbox"/> | ID | <input type="checkbox"/> | T&C's | <input type="checkbox"/> |
| Entered by: | Date: | Bank A/C & Diary | <input type="checkbox"/> | Iress | <input type="checkbox"/> | |
| Checked by: | Date: | | | ACT! | <input type="checkbox"/> | |

Registered address: Pine Grange, Bath Road, Bournemouth BH1 2NU