

REGISTRATION FORM: EXECUTION-ONLY ATTORNEY SALES

IDENTITY REQUIREMENTS

*Under FCA rules and to enable us to comply with the relevant Money Laundering Regulations, we require proof of identity and proof of residential address, **one from each of the following lists**, for all of the named persons to this agreement, as well as for any agents or attorneys who may give us instructions on their behalf. Postal and email applications should be accompanied by two proofs of identity and one proof of address. We may also undertake supplementary electronic identification checks.*

Proof of identity

- Current passport
- Current UK driving licence
- DWP benefit/pension statement
- HMRC tax notification
- Court sealed Grant of Probate

Additional documentary requirements (as applicable)

- Lasting Power of Attorney (LPA)
- OPG registration letter for LPA

Proof of address

- Proof of identity document with address
(If not already used as a proof of identity)
- Recent utility bill (not mobile telephone)
- Recent Council Tax bill
- Recent bank or mortgage statement

- Enduring Power of Attorney (EPA)

Important information –

- This form should only be used for the disposal of certificated holdings or shares held in a Registrar's corporate nominee on an execution-only basis by the registered shareholder.
- Farley & Thompson will not provide any advice or assess your financial circumstances or the merits or suitability of any transaction.
- This application is valid for the disposal of the holdings listed in section 2 of this form. Certificated stocks will be dematerialised prior to sale.
- Following settlement this account will be closed. Future trades would require a new form to be completed along with renewed proof of identity and address.

ALL SECTIONS OF THIS FORM MUST BE COMPLETED

Section 1: Personal details

	Applicant				Attorney			
Surname	Title				Title			
Forename(s)								
Address and postcode								
Telephone	Home				Home			
	Mobile				Mobile			
Email address								
Date of Birth								
National Insurance Number								
Nationality/Dual Nationality								

Section 2:

Details of shareholdings for disposal under this application:

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Section 3: Payment details

Name of bank																			
Address and postcode																			
Sort code			-			-			Account number										
Account name																			

Payment will be made to your account by BACS following receipt of funds in settlement.

Additional notes:

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CLIENT DECLARATION

I/We acknowledge this is an execution-only trade and understand that Farley & Thompson will not, and have not, provided any advice or assessed my/our financial circumstances or the merits or suitability of any transaction. I/We acknowledge that Farley & Thompson will close this account following settlement.

Signed:		Print Name:		Date:	
Capacity of signatory:					
Signed:		Print Name:		Date:	
Capacity of signatory:					

FOR INTERNAL USE ONLY

Partner: App ID T&C's Segregated
Entered by: Date: Bank A/C & Diary Iress
Checked by: Date: Valuation & Fees / ACT!

Registered address: Pine Grange, Bath Road, Bournemouth BH1 2NU