

Service Summary (key features overview)

	Discretionary Management	Advisory Management	Custody Plus	Execution Only (one-off sales)
SERVICE ELEMENTS				
Minimum investment amount	£350,000 or £50,000 Funds Only	£50,000	No minimum	No minimum
Ongoing service fee (min. fee applies)	✓	✓		
Ongoing custody charge (min. charge applies)	✓	✓	✓	
Dealing commission		✓	✓	✓
Named Investment Manager	✓	✓		
Named Deputy Investment Manager	✓	✓		
Access to your Investment Manager by phone and email	✓	✓		
Access to client team for help with ad-hoc queries	✓	✓	✓	✓
ISA and CGT guidance	✓	✓		
Available for SIPP/SSAS portfolio	✓	✓		
Management of your portfolio - investment decisions made for you	✓			
Management of your portfolio – personal recommendations made to you		✓		
Execution-Only transactions			✓	✓
Nominee service including dividend collection and consolidated tax certificate	✓	✓	✓	
Share certificates (sales)				✓
Access to online valuation service	✓	✓	✓	
Regular income payments	✓	✓	✓	
UPFRONT INFORMATION				
Initial Suitability Report including advice, service, and investment scheme proposal	✓	✓		
Costs & Charges	Actual or near actual	Actual or near actual	Illustrative	Illustrative
Key Information Documents (KIDs)		✓		
ONGOING				
Investment performance benchmarking	✓			
Semi-annual portfolio valuation, supporting schedules, market and stock commentary	✓			
Quarterly portfolio valuation	✓			
Semi-annual portfolio valuation, supporting schedules and market commentary		✓		
Quarterly asset statement		✓	✓	
Annual valuation and supporting schedules			✓	
Annual consolidated tax certificate	✓	✓	✓	
Annual capital gains tax report	✓			
Annual Costs & Charges summary	✓	✓	✓	
Trade confirmations		✓	✓	✓
Responsibility for suitability of individual investments and portfolio composition	✓	✓		
Pre or Post-trade suitability letter		✓		
Periodic review to discuss objective, attitude to risk, capacity for loss and update CDD* info	✓	✓		
Annual assessment to affirm ongoing suitability of service and investments	✓	✓		

*Client Due Diligence (ID and address information)