

## REGISTRATION FORM: EXECUTION-ONLY ATTORNEY SALES

### IDENTITY REQUIREMENTS

Before we can establish an account, we are required under applicable Money Laundering Regulations to verify your identity. Accordingly, you will need to provide satisfactory proof of identity, proof of your residential address, and evidence of your nominated bank account. Depending on the nature of the account we may require additional documentation and will undertake supplementary electronic identification and verification checks as part of our due diligence procedures. **Postal and email applications will require two proofs of identity.**

#### Proof of identity

- Current passport
- Current photocard driving license
- HMRC tax notification
- DWP pension/benefit statement
- Court sealed Grant of Probate

#### Proof of address

- Proof of identity document with address (if not already used as proof of identity)
- Current council tax bill
- Recent mortgage or credit card statement (within last 3 months)
- Recent utility bill (not mobile phone) (within last 3 months)

#### Nominated bank account

- Recent bank statement (within last 3 months)

#### Additional documentary requirements (as applicable)

- Lasting Power of Attorney (LPA)
- Enduring Power of Attorney (EPA)
- OPG registration letter for LPA

#### Important information –

- This form should only be used for the disposal of certificated holdings or shares held in a Registrar's corporate nominee on an execution-only basis by the registered shareholder.
- Farley & Thompson will not provide any advice or assess your financial circumstances or the merits or suitability of any transaction.
- This application is valid for the disposal of the holdings listed in section 2 of this form. Certificated stocks will be dematerialised prior to sale.
- Following settlement this account will be closed. Future trades would require a new form to be completed along with renewed proof of identity and address.

### ALL SECTIONS OF THIS FORM MUST BE COMPLETED

#### Section 1: Personal details

	Applicant			Attorney		
Surname	Title			Title		
Forename(s)						
Address and postcode						
Telephone	Home			Home		
	Mobile			Mobile		
Email address						
Date of Birth						
National Insurance Number						
Nationality/Dual Nationality						

## Section 2:

Details of shareholdings for disposal under this application:

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## Section 3: Payment details

Name of bank																			
Address and postcode																			
Sort code			-			-			Account number										
Account name																			

Payment will be made to your account by BACS following receipt of funds in settlement.

Additional notes:

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## CLIENT DECLARATION

I/We acknowledge this is an execution-only trade and understand that Farley & Thompson will not, and have not, provided any advice or assessed my/our financial circumstances or the merits or suitability of any transaction. I/We acknowledge that Farley & Thompson will close this account following settlement.

Signed:		Print Name:		Date:	
Capacity of signatory:					
Signed:		Print Name:		Date:	
Capacity of signatory:					

### FOR INTERNAL USE ONLY

Partner: App  ID  T&C's  Segregated   
Entered by: Date: Bank A/C & Diary  Iress   
Checked by: Date: Valuation & Fees / ACT!

Registered address: Pine Grange, Bath Road, Bournemouth BH1 2NU