

REGISTRATION FORM: EXECUTION-ONLY CERTIFICATED SALES

IDENTITY REQUIREMENTS

Before we can establish an account, we are required under applicable Money Laundering Regulations to verify your identity. Accordingly, you will need to provide satisfactory proof of identity, proof of your residential address, and evidence of your nominated bank account. Depending on the nature of the account we may require additional documentation and will undertake supplementary electronic identification and verification checks as part of our due diligence procedures. **Postal and email applications will require two proofs of identity.**

Proof of identity

- Current passport
- Current photocard driving license
- HMRC tax notification
- DWP pension/benefit statement
- Court sealed Grant of Probate

Proof of address

- Proof of identity document with address (if not already used as proof of identity)
- Current council tax bill
- Recent mortgage or credit card statement (within last 3 months)
- Recent utility bill (not mobile phone) (within last 3 months)

Nominated bank account

- Recent bank statement (within last 3 months)

Important information –

- This form should only be used for the disposal of certificated holdings or shares held in a Registrar's corporate nominee on an execution-only basis by the registered shareholder.
- Farley & Thompson will not provide any advice or assess your financial circumstances or the merits or suitability of any transaction.
- This application is valid for the disposal of the holdings listed in section 2 of this form. Certificated stocks will be dematerialised prior to sale.
- Following settlement this account will be closed. Future trades would require a new form to be completed along with renewed proof of identity and address.

ALL SECTIONS OF THIS FORM MUST BE COMPLETED

Section 1: Personal details

	Applicant 1				Applicant 2			
Surname	Title				Title			
Forename(s)								
Address and postcode								
Telephone	Home				Home			
	Mobile				Mobile			
Email address								
Date of Birth								
National Insurance Number								
Nationality/Dual Nationality								

Section 2:

Details of shareholdings for disposal under this application:

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Section 3: Payment details

Name of bank																								
Address and postcode																								
Sort code				-				-				Account number												
Account name																								

Payment will be made to your account by BACS following receipt of funds in settlement.

Additional notes:

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CLIENT DECLARATION

I/We acknowledge this is an execution-only trade and understand that Farley & Thompson will not, and have not, provided any advice or assessed my financial circumstances or the merits or suitability of any transaction. I/We acknowledge that Farley & Thompson will close this account following settlement.

Signed:		Print Name:		Date:	
Signed:		Print Name:		Date:	

FOR INTERNAL USE ONLY

Partner: App ID T&C's Segregated
Entered by: Date: Bank A/C & Diary Iress
Checked by: Date: Valuation & Fees / ACT!

Registered address: Pine Grange, Bath Road, Bournemouth BH1 2NU