

REGISTRATION FORM: EXECUTION-ONLY ESTATE SALES or TRANSFERS

IDENTITY REQUIREMENTS

Before we can establish an account, we are required under applicable Money Laundering Regulations to verify your identity. Accordingly, you will need to provide satisfactory proof of identity, proof of your residential address, and evidence of your nominated bank account. Depending on the nature of the account we may require additional documentation and will undertake supplementary electronic identification and verification checks as part of our due diligence procedures. **Postal and email applications will require two proofs of identity.**

Proof of identity

- Current passport
- Current photocard driving license
- HMRC tax notification
- DWP pension/benefit statement
- Court sealed Grant of Probate

Proof of address

- Proof of identity document with address (if not already used as proof of identity)
- Current council tax bill
- Recent mortgage or credit card statement (within last 3 months)
- Recent utility bill (not mobile phone) (within last 3 months)

Nominated bank account

- Recent bank statement (within last 3 months)

Additional documentary requirements (as applicable)

- Death Certificate
- Letters of administration
- Grant of Probate

Important information –

- This form should only be used for the disposal of certificated holdings or shares held in a Registrar's corporate nominee on an execution-only basis by the registered shareholder.
- Farley & Thompson will not provide any advice or assess your financial circumstances or the merits or suitability of any transaction.
- This application is valid for the disposal of the holdings listed in section 3 of this form. Certificated stocks will be dematerialised prior to sale.
- Following settlement this account will be closed. Future trades would require a new form to be completed along with renewed proof of identity and address.

ALL SECTIONS OF THIS FORM MUST BE COMPLETED

Section 1: Personal details

Name of deceased estate	Exors of												
National Insurance Number													
Nationality/Dual Nationality													
Date of Birth			-			-	Date of Death			-			-
Is this Estate being dealt with formally by a solicitor?	Yes		No		If Yes, Solicitor firm details:								
Solicitor firm name													
Primary contact name													
Address and postcode													
Telephone number													

Section 2: Executor details

	Executor 1			Executor 2		
Surname	Title			Title		
Forename(s)						
Address and postcode						
Telephone	Home			Home		
	Mobile			Mobile		
Email address						
National Insurance Number						
Date of Birth						
Nationality/Dual Nationality						

Section 3: Assets to be sold *(For transfer of ownership the relevant service form will need to be completed)*

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Section 4: Payment details

Name of bank															
Address and postcode															
Sort code			-			-		Account number							
Account name															

CLIENT DECLARATION

I/We acknowledge this is an execution-only trade and if named, the firm of solicitors in section 1 is authorised to act on my/our behalf. I/We understand that Farley & Thompson will not provide any advice or assess my/our financial circumstances or the merits or suitability of any transaction. I/We acknowledge that Farley & Thompson will close this account following settlement.

Signed:		Print Name:		Date:	
Capacity of signatory:					
Signed:		Print Name:		Date:	
Capacity of signatory:					

FOR INTERNAL USE ONLY								
Partner:	App	<input type="checkbox"/>	ID	<input type="checkbox"/>	T&C's	<input type="checkbox"/>	Segregated	<input type="checkbox"/>
Entered by:	Date:		Bank A/C & Diary	<input type="checkbox"/>	Iress	<input type="checkbox"/>		
Checked by:	Date:		Valuation & Fees	/	ACT!	<input type="checkbox"/>		

Registered address: Pine Grange, Bath Road, Bournemouth BH1 2NU